

**CERTIFICATE OF MAILING UNDER 37.C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

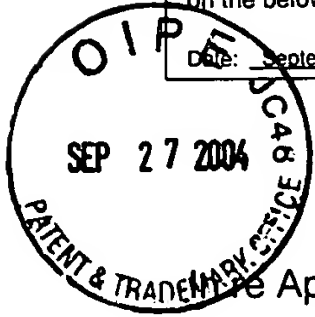
Date: September 22, 2004 Name: Deanna L. Hasler

Signature: *Deanna L. Hasler*

**BRINKS  
HOFFER  
GILSON  
& LIONE**

3629  
JFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



Application of

John K. Kaltenmark et al.

Serial No.: 09/676,584

Filed: September 29, 2000

For: **OPERATIONS ARCHITECTURES FOR  
NETCENTRIC COMPUTING SYSTEMS**

)  
) Group Art Unit: 3629  
)  
) Examiner: Richard Sukyoon Woo  
)  
) Confirmation No. 3191  
)

**TRANSMITTAL**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**Attached is/are:**

- ☒ Fifth Supplemental Information Disclosure Statement, PTO Form 1449 and Four (4) cited references
- ☒ Return Receipt Postcard

**Fee calculation:**

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

**Fee payment:**

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

SEP 22, 2004  
Date

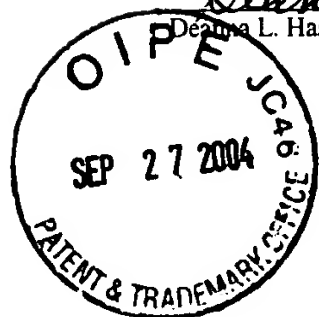
Respectfully submitted,

*Sanders N. Hillis*  
Sanders N. Hillis (Reg. No. 45,712)

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 22, 2004.

*Deanna L. Hasler*  
Deanna L. Hasler



**PATENT**  
**Case No.: 10022/043**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

John K. Kaltenmark et al.

Serial No.: 09/676,584

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For: OPERATIONS ARCHITECTURES FOR  
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**FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this fifth Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner. The references now cited are the following:

No.	Date	Name
US 6,385,655 B1	05/07/2002	Smith et al.

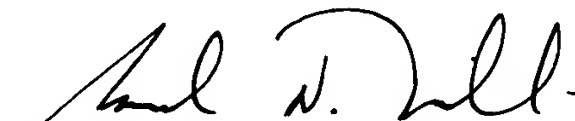
<b>Non-Patent Literature Documents</b>
Copyright Registration for Netcentric Computing: Computing, Communications and knowledge, Reg. 9 Jan. 98, p. 1
Kramer, D., "The Java™ Platform: A White Paper, JavaSoft, pp. 1-25, May 1996.
<a href="http://www.empowermentzone.com/javacomp.txt">www.empowermentzone.com/javacomp.txt</a> , Sun Microsystems, pp. 1-18, 1996.

In accordance with 37 C.F.R. § 1.97(g),(h), this fifth Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Fifth Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Fifth Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Sanders N. Hillis  
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Attorney for Applicant(s)

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